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Kenneth C. Bass III

March 11, 2002

WRITER'S DIRECT NUMBER: (202) 371-2625 **INTERNET ADDRESS:** ESTEFFE@SKGF.COM

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/881,204; Filed: June 15, 2001

For:

Pluripotent Mammalian Cells

Inventors:

Page et al.

Our Ref:

1954.0010001/EKS/PSC

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated August 9, 2001, Applicants submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- A copy of the Notice to File Missing Parts of Non-Provisional Application; 1.
- Petition for Extension of Time under 37 C.F.R. § 1.136;
- 3. Fee Transmittal Form (PTO/SB/17);
- A facsimile copy of the original Declaration, executed by the inventors; 4.
- Preliminary Amendment; 5.
- One (1) return postcard; and
- Our check no. 34440 for \$3,316.00 to cover:
 - \$ 740.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);
 - \$ 486.00 Fee for excess claims (37 C.F.R. § 1.16);
 - \$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16);

Commissioner for Patents March 11, 2002 Page 2

\$1,960.00 For extension of time fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Eric K. Steffe

Attorney for Applicants Registration No. 36,688

EKS/PSC/lam Enclosures

 $P: \label{local_problem} P: \label{local_problem_problem} P: \label{local_problem_pr$

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Compl te if Known **Application Number** 09/881,204 Filing Date June 15, 2001 **First Named Inventor** Raymond L. Page **Examiner Name** To be assigned **Group Art Unit** 1651 Attorney Docket No. 1954.0010001/EKS/PSC

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)3,316.00

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES						
1. U The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:	Large	Entity	Small	Entity			
Deposit Account Number 19-0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee De	scription	Fee paid
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	105	130	205	65	Surcharge - late filing fe	e or oath	130.00
	127	50	227	25	Surcharge - late provisional f	filing fee or cover sheet	
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	139	130	139	130	Non-English specifica	ation	
Applicant claims small entity status See 37 CFR 1.27		2,520	147	2,520	For filing a request for ex parte reexamination		ation
		920*	112	920*	Requesting publication of action		
. M ₋	113	1,840*	113	1,840*	Requesting publication of	SIR after Examiner ac	tion
Payment Enclosed: Check	115	110	215	55	Extension for reply within first month		
FEE CALCULATION	116	400	216	200	Extension for reply within second month		
I. BASIC FILING FEE	117	920	217	460	Extension for reply within third month		
Large Entity Small Entity	118	1,440	218	720	Extension for reply wit	hin fourth month	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128	1,960	228	980	Extension for reply wit	hin fifth month	1,960.00
101 740 201 370 Utility filing fee <u>740.00</u>	119	320	219	160	Notice of Appeal		
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal		
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing		
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		ng
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable		
	141	1,280	241	640	Petition to revive - unintentional		
SUBTOTAL (1) (\$) 740.00	142	1,280	242	640	Utility issue fee (or reissue)		
· ·	143	460	243	230	Design issue fee		
	144	620	244	310	Plant issue fee		
	122	130	122	130	Petitions to the Comm	issioner	
2. EXTRA CLAIM FEES Fee from Extra below Fee Paid	123	130	123	130	Petitions related to provisional applications		ns
	126	180	126	180	Submission of Information Disclosure Stmt		nt
Total Claims 47 - 20** = 27	581	40	481	40	Recording each patent assignment per property (times number of properties)		
Multiple Dependent 0 = 0.00	146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))		
Large Entity Small Entity Fee Fee Fee Fee Description	149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))		
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE)		
102 84 202 42 Independent claims in excess of 3	169	900	169	900	Request for expedited examination of a		
104 280 204 140 Multiple dependent claim	Other for (design application		
108 84 209 42 **Reissue independent claims over original patent	Other fee (specify):						
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other fee (specify):						
SUBTOTAL (2) (\$) 486.00	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 2,090.00						
or number previously paid, in greater, 7 or Neissacs, see above							
SOSIII 125 CT							202-371-2600
Name (Print/Type) Eric K. Steffe	Registration No. (Attorney/Agent)				36,688	Telephone	2/ -
Signature Date S/11/02							

ARP 1975: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SKGF Rev. 10/01/01 mnac

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

26111

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/881.204

WASHINGTON, DC 20005-3934

STERNE, KESSLER, GOLDSTEIN & FOX PLLC

1100 NEW YORK AVENUE, N.W., SUITE 600

06/15/2001

Raymond L. Page

1954.0010001

CONFIRMATION NO. 5122

FORMALITIES LETTER

OC000000006404654*

Date Mailed: 08/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$864.
 - \$594 for 33 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1704.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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